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AUTHOR(S):

FUKUI, TOSHIZUMI

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TOPOLOGY OF REAL SINGULARITIES AND MAPPING DEGREE

TOSHIZUMI FUKUI

Let $f : (\mathbf{R}^n, 0) \longrightarrow (\mathbf{R}, 0)$ be a function-germ. We are interested in a relation between the topology of the map f and the mapping degree of a finite map germ determined by f through some procedure.

Let V_ε be a local level manifold of f . i.e. $V_\varepsilon = \tilde{f}^{-1}(\varepsilon)$, where $\tilde{f} : D \longrightarrow \mathbf{R}$ is a representative of f and where D is a small ball in \mathbf{R}^n , and its center is the origin of \mathbf{R}^n . Abusing the notation, we also denote f by a representative of germ f on a small neighbourhood of the origin. Let (x_1, \dots, x_n) be a local coordinate system of \mathbf{R}^n at the origin. If f defines an isolated singularity at the origin, then the map

$$df = \left(\frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n} \right) : (\mathbf{R}^n, 0) \longrightarrow (\mathbf{R}^n, 0)$$

is a finite map. Khimshiashvili(1977), Arnol'd(1977) and Wall(1983) pointed out the following fact:

THEOREM A. $\deg(df) = \operatorname{sgn}(-\varepsilon)^n (1 - \chi(V_\varepsilon))$.

COROLLARY. *Combining it with the Eisenbud-Levine's theorem, we obtain an algebraic formula of the Euler number of a local level manifold V_ε .*

COROLLARY. *Let S be the boundary of D , and set $A_+ = S \cap \{f \geq 0\}$, $A_- = S \cap \{f \leq 0\}$. Since A_+ (resp. A_-) is diffeomorphic to V_ε with $\varepsilon > 0$ (resp. $\varepsilon < 0$), we have*

$$\chi(A_+) = 1 + (-1)^{n+1} \deg(df), \quad \chi(A_-) = 1 - \deg(df).$$

Here we will repeat the proof of theorem A, because of its importance. Let δ be a suitably small positive number. By Morse theory, the relative Euler characteristic

$$\chi(f^{-1}[-\delta, \delta] \cap D, f^{-1}(-\delta) \cap D) = n_+ - n_-,$$

where n_+, n_- denote the numbers of critical points of g in $f^{-1}[-\delta, \delta] \cap D$ of even, odd index, or equivalently the number at which dg has local

degree $+1, -1$. Here we choose g to be a C^1 -approximation to f , whose critical points are non-degenerate. Thus

$$\deg(df) = \deg(dg) = n_+ - n_-.$$

As $f^{-1}[-\delta, \delta] \cap D$ is contractible, so has Euler characteristic 1, we deduce

$$\deg(df) = 1 - \chi(f^{-1}(-\delta) \cap D) = 1 - \chi(V_{-\delta}).$$

By changing the sign of f , we have

$$(-1)^n \deg(df) = 1 - \chi(f^{-1}(\delta) \cap D) = 1 - \chi(V_\delta).$$

Next we consider a curve-germ. Say $f : (\mathbf{R}^2, 0) \rightarrow (\mathbf{R}, 0)$ defines a plane curve-germ. Let (x, y) be a local coordinate system of \mathbf{R}^2 at 0, and $g = x^2 + y^2$. The number of connected components of the intersection $f^{-1}(0) \cap \{g > 0\}$ determines topology of $f^{-1}(0)$. Fukuda-Aoki-Sun (1986) proved the following fact.

THEOREM B. *The number of connected components of the intersection $f^{-1}(0) \cap \{g > 0\}$ is equal to $2 \deg(j, f)$, where $j = \frac{\partial(g, f)}{\partial(x, y)}$.*

Szafraniec(1988) gave a generalization of this theorem in the following form.

THEOREM C. *Assume that $f : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^{n-1}, 0)$ defines a curve-germ, and that $g : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}, 0)$ has no zero on $f^{-1}(0) - \{0\}$. Set*

*b_+ = the number of connected components of $f^{-1}(0) \cap \{g > 0\}$, and
 b_- = the number of connected components of $f^{-1}(0) \cap \{g < 0\}$.*

Then $b_+ - b_- = 2 \deg(j, f)$,

where $j = \det\left(\frac{\partial g}{\partial x}, \frac{\partial f}{\partial x}\right)$, and $x = (x_1, \dots, x_n)$ is a local coordinate system of \mathbf{R}^n at 0.

In the case $g = x_1^2 + \dots + x_n^2$, this theorem was obtained by Aoki-Fukuda-Nishimura (1987) as a formula of the topological type of $f^{-1}(0)$ in $(\mathbf{R}^n, 0)$. The cases for $g = x_1^2$ and $g = x_1$ were obtained by Nishimura-Aoki-Fukuda (1989) as a formula which determines the bifurcation of 1-parameter family with parameter x_1 , of curve-germs $f^{-1}(x_1, 0)$ in $(\mathbf{R}^{n-1}, 0)$. The main idea of this talk is the following: Since

$$b_+ - b_- = 2\{\chi(f^{-1}(\varepsilon) \cap \{g \geq 0\}) - \chi(f^{-1}(\varepsilon) \cap \{g \leq 0\})\},$$

we understand that the above formula asserts that the mapping degree is equal to the difference of Euler numbers. From this point of view, we have a hope to formulate similar theorems for some other map-germs.

We return to function-germ $f : (\mathbf{R}^{n+1}, 0) \rightarrow (\mathbf{R}, 0)$, where $(\lambda, x_1, \dots, x_n)$ is a coordinate system of \mathbf{R}^{n+1} at the origin.

THEOREM D. If $\dim_{\mathbf{R}} \mathbf{R}\{\lambda, x\} / \left(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}\right) < \infty$, then

$$-\operatorname{sgn}(-\varepsilon)^{n+1} \{ \chi(f^{-1}(\varepsilon) \cap \{\lambda \geq 0\}) - \chi(f^{-1}(\varepsilon) \cap \{\lambda \leq 0\}) \}$$

$$= \deg\left(f, \frac{\partial f}{\partial x_1}, \dots, \frac{\partial f}{\partial x_n}\right)$$

For a function germ $f : (\mathbf{R}^{n+2}, 0) \rightarrow (\mathbf{R}, 0)$ with coordinate (x, y, z_1, \dots, z_n) of \mathbf{R}^{n+2} at 0, we set that $j = \frac{\partial(g, f)}{\partial(x, y)}$ for $g = g(x, y)$. Assume that the singular locus of g is in the zero locus of g .

THEOREM E. If $\dim_{\mathbf{R}} \mathbf{R}\{x, y, z\} / \left(f, j, \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n}\right) < \infty$, then

$$-\operatorname{sgn}(-\varepsilon)^n \{ \chi(f^{-1}(\varepsilon) \cap \{g \geq 0\}) - \chi(f^{-1}(\varepsilon) \cap \{g \leq 0\}) \}$$

$$= \deg\left(f, j, \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n}\right)$$

We can understand them as formulae for bifurcation of zeros of functions.

SKETCH OF THE PROOF OF THEOREM E: Replacing f by a suitable perturbation of f , if necessary, we can assume that the restriction of g to $f^{-1}(\varepsilon)$ is a Morse function except the zero locus of g . By Morse theory, the relative Euler characteristic

$$\chi(f^{-1}(\varepsilon) \cap \{g \geq 0\}, f^{-1}(\varepsilon) \cap \{g = 0\}) = n_+(g_+) - n_-(g_+)$$

$$(\text{resp. } \chi(f^{-1}(\varepsilon) \cap \{g \leq 0\}, f^{-1}(\varepsilon) \cap \{g = 0\}))$$

$$= (-1)^{n+1} (n_+(g_-) - n_-(g_-)),$$

where $n_+(g_+), n_-(g_+)$ (resp. $n_+(g_-), n_-(g_-)$) denote the numbers of critical points of $g|_{f^{-1}(\varepsilon)}$ in $\{g > 0\}$ (resp. $\{g < 0\}$) of even, odd index, or equivalently the number at which $\left(f, j, \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n}\right)$ has local degree $-\operatorname{sgn}(-\varepsilon)^n$, $\operatorname{sgn}(-\varepsilon)^n$ (resp. $-\operatorname{sgn}\varepsilon^n$, $\operatorname{sgn}\varepsilon^n$). Thus

$$\deg\left(f, j, \frac{\partial f}{\partial z_1}, \dots, \frac{\partial f}{\partial z_n}\right)$$

$$= -\operatorname{sgn}(-\varepsilon)^n (n_+(g_+) - n_-(g_+)) - \operatorname{sgn}\varepsilon^n (n_+(g_-) - n_-(g_-)).$$

$$= -\operatorname{sgn}(-\varepsilon)^n \{ \chi(f^{-1}(\varepsilon) \cap \{g \geq 0\}) - \chi(f^{-1}(\varepsilon) \cap \{g \leq 0\}) \}. \blacksquare$$

PROOF OF THEOREM E \Rightarrow THEOREM B: Set $n = 0$. \blacksquare

PROOF OF THEOREM E \Rightarrow THEOREM D: Set $j = x$. Then $j = \frac{\partial f}{\partial y}$. \blacksquare

PROBLEM: Construct an unified theory that describes the above phenomena.

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Nagano National College of Technology, 716 Tokuma, Nagano 381 JAPAN